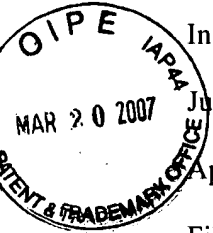


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of

Junko IWANAGA, et al.

Application No.: 10/549,291

Filed: September 16, 2005

For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

Customer Number: 53080

Confirmation Number: 8090

Group Art Unit: 2811

Examiner: Not yet assigned

LETTER TO CORRECT

FILING DATE IN U.S. PATENT AND TRADEMARK OFFICE RECORDS

Mail Stop OIPE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please correct the U.S. Patent and Trademark Office records to reflect the following correct information for the above-referenced application:

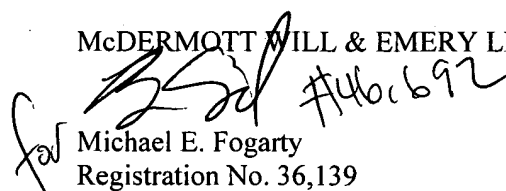
The Filing Date should be:

September 16, 2005

Attached are copies of the transmittal letter, the return date-stamped postcard, and screen shots from PAIR under the Image File Wrapper tab which confirm that the above filing date is correct. This information appears incorrectly in PAIR under the Application data tab. It is requested that the U.S. Patent and Trademark Office records be updated to reflect this correction. Thank you.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

for  #461692
Michael E. Fogarty
Registration No. 36,139

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 MEF:jjz
Facsimile: 202.756.8087
Date: March 20, 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 53080
Junko IWANAGA, et al.	:	Confirmation Number: 8090
Application No.: 10/549,291	:	Group Art Unit: 2811
Filed: September 16, 2005	:	Examiner: Not yet assigned
For: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME		

SECOND REQUEST FOR CORRECTED FILING RECEIPT


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Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the filing date is incorrect. Attached are copies of the transmittal letter and the return date-stamped postcard, which evidence the correct filing date to be **September 16, 2005**. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

for  #46,692
Michael E. Fogarty
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Date: March 20, 2007

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/549,291	09/14/2005	2811	900	071971-0361	21	11	3

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CONFIRMATION NO. 8090

FILING RECEIPT



OC000000019206712

Date Mailed: 06/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Junko Iwanaga, Osaka, JAPAN;
Takeshi Takagi, Kyoto, JAPAN;
Yoshihiko Kanzawa, Kyoto, JAPAN;
Haruyuki Sorada, Osaka, JAPAN;
Tohru Saitoh, Osaka, JAPAN;
Takahiro Kawashima, Osaka, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 53080.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/03808 03/19/2004

Foreign Applications

JAPAN 2003-078002 03/20/2003

If Required, Foreign Filing License Granted: 06/09/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/549,291**

Projected Publication Date: 09/21/2006

Non-Publication Request: No

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

071971-0361

U.S. APPLIC. NO. (if known, see 37 CFR 1.5)
Not yet assigned

INTERNATIONAL APPLICATION NO

PCT/JP2004/003808

INTERNATIONAL FILING DATE

March 19, 2004

PRIORITY DATE CLAIMED

March 20, 2003

TITLE OF INVENTION

SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME

APPLICANTS FOR DO/EO/US

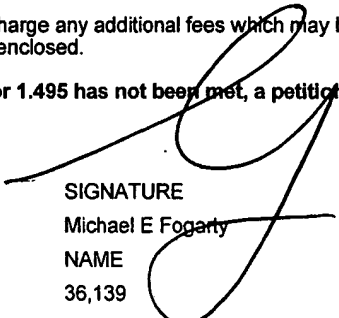
Junko IWANAGA, Takeshi TAKAGI, Yoshihiko KANZAWA, Haruyuki SORADA, Tohru SAITOH, Takahiro KAWASHIMA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are attached hereto (required only if not transmitted by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendment has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☒ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☒ Form PCT/IPEA/401, 419
17. ☒ Form PCT/ISA/210, 220, 237
18. ☒ Front page of the published International Application
19. ☒ PCT Request
20. ☒ Other items or information.
Forms PCT/IB/301, 304, 306, 308, 311, 332, 346

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned		INTERNATIONAL APPLICATION NO. PCT/JP2004/003808		ATTORNEY'S DOCKET NUMBER 071971-0361	
				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> The following fees are submitted:					
<input checked="" type="checkbox"/> Basic National Stage Fee		\$300		\$300.00	
22. <input checked="" type="checkbox"/> Examination Fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)			\$100	\$200.00	
All other situations			\$200		
23. <input checked="" type="checkbox"/> Search Fee					
Search fee (37 CFR 1.445(1)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$100	\$400.00	
International Search Report prepared and provided to the Office			\$400		
All other situations			\$500		
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Total Claims	11-20 =		x \$50.00	\$	
Independent Claims	3-3 =		x \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$	
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TOTAL NATIONAL FEE =					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
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				Amount to be refunded	\$
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<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of \$ <u>900.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO: Customer Number 20277 Telephone: 202.756.8000 Facsimile: 202.756.8087</p> <div style="text-align: right; margin-top: 20px;">  SIGNATURE Michael E Fogarty NAME 36,139 REGISTRATION NUMBER </div>					

Applicant: Junko IWANAGA, et al. Docket No. 071971-0361 Serial No. 10/549259

Title: SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME Patent No.

Date Sent: 9/16/2005 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ 1st Class Mail ☐ Express Mail No.

☒ Transmittal Letter ☐ New Patent App ☐ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☒ PCT ☐ RCE ☐ Prov

☐ Other: ☐ Letter submitting _____ pages of drawings

25 pages of Specification ☐ Req. for Approval of Drawing Amendments

5 pages of Claims ☐ Req. for Oral Hearing

1 pages of Abstract ☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

21 pages of Formal/Informal Drawings ☐ Rule 312 Amendment/Letter

☐ Small Entity ☒ Large Entity ☐ Req. for Acknowledgement of Cited Art

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☐ Recordation of Assignment/Security Agreement ☐ Publication Fee

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1 Form PTO 1449 ☐ Maintenance Fee for _____ years after grant

_____ copies of cited references ☒ PCT Request

☒ Preliminary Amendment ☒ Form PCT/IPEA/401, 419

☐ Response to Missing Parts Notice ☒ Front page of the published International Application

☐ Resp. to Notice to Correct App. Papers ☒ Form PCT/ISA/210, 220, 237

☐ Certified Copy of Priority Doc. ☒ Other Forms PCT/IR/301 304 306 308 311 332 346

☐ Claim for Convention Priority ☐ Response/Amendment to Office Action of

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JC03 Rec'd PCT/PTO 16 SEP 2005



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10/549,291

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Bibliographic Data

Application Number:	10/549,291	Customer Number:	53080
Filing or 371 (c) Date:	09-14-2005	Status:	Docket Examined
Application Type:	Utility	Status Date:	01-03-
Examiner Name:	LIN, JOHN	Location:	ELECTR
Group Art Unit:	2815	Location Date:	-
Confirmation Number:	8090	Earliest Publication No:	US 200
Attorney Docket Number:	071971-0361 Update	Earliest Publication Date:	09-21-
Class / Subclass:	257/288	Patent Number:	-
First Named Inventor:	Junko Iwanaga, Osaka, (JP)	Issue Date of Patent:	-

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10/549,291	Select New Case	Application Data	Transaction History	Image File Wrapper	Continuity Data	Foreign Priority	Published Documents	Address & Attorney/Agent	Assignments	Ref
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09-16-2005	Miscellaneous Internal Document	PROSECUTION	1
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09-16-2005	Authorization for Extension of Time all replies	PROSECUTION	2
09-16-2005	Specification	PROSECUTION	25
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